

# GCM Selection and Ensemble Design: Best Practices and Recommendations from the EURO-CORDEX Community

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## KEYWORDS:

Atmosphere;  
Climate models;  
Ensembles;  
Model evaluation/  
performance;  
Regional models;  
Climate services

**ABSTRACT:** High-resolution climate information is critical for the Vulnerability, Impacts, Adaptation, and Climate Services (VIACS) communities. Coordinated ensembles generated by initiatives like the Coordinated Regional Climate Downscaling Experiment (CORDEX) provide consistent and comparable information for the present and future over all land areas of the globe. This manuscript focuses on the European CORDEX initiative (EURO-CORDEX) and its coordinated effort to build regional climate ensembles for the years to come. In its first phase, EURO-CORDEX produced a rich ensemble of regional climate simulations under different representative concentration pathway scenarios. The EURO-CORDEX dataset is openly available and was fed into the Regional Atlas of the IPCC Sixth Assessment Report. However, this ensemble suffered from several shortcomings, which the community seeks to address in the next phase of production. Chief among these is the oft-cited criticism that the selection of GCMs that provide input to the regional climate models was not rigorous and that the resulting ensemble represents an “ensemble of opportunity.” The present paper provides a description of how the community has addressed these shortcomings. We present a comprehensive, flexible, and traceable evaluation framework and toolkit for assessing the suitability of GCMs for downscaling, using EURO-CORDEX as an example. Its value lies in its explicit recognition of subjectivity and mechanisms implemented to transparently track decision-making. Further, the utility of the framework extends well beyond predownscaling decisions to also include postdownscaling investigations performed by the VIACS communities and beyond, to include researchers investigating such topics as model biases, future constraints, and exploring future storylines.

**SIGNIFICANCE STATEMENT:** The European Coordinated Regional Climate Downscaling Experiment initiative (EURO-CORDEX) community has created a comprehensive evaluation framework and open-source toolkit for global climate model assessment. These approaches provide a robust, transparent, and traceable approach to both pre- and postdownscaling ensemble designs whose utility extends well beyond the immediate regional climate community. This will enable improved and more nuanced assessments of regional climate change and its impacts.

DOI: 10.1175/BAMS-D-23-0189.1

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Manuscript received 21 July 2023, in final form 28 April 2025, accepted 15 May 2025

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## 1. Introduction

Since the release of the IPCC Sixth Assessment Report, there has been an increased awareness and focus on the local-to-regional-scale responses to anthropogenically forced climate change (IPCC 2021). Indeed, within the report itself, an entire chapter is dedicated to moving from global to regional scales (Doblas-Reyes et al. 2021). Obtaining relevant information at these scales of interest typically requires downscaling (dynamical, statistical, or hybrid). Here, we focus on community downscaling efforts—using regional climate models (RCMs) as well as empirical statistical downscaling (ESD)—in the context of the WCRP-Coordinated Regional Climate Downscaling Experiment (CORDEX) initiative<sup>1</sup>.

A long-standing challenge in downscaling (of all types) is the reliance on input from global climate models (GCMs) that may have widely varying performance characteristics on regional scales, even though there has been steady improvement through CMIP generations (Brands 2022a; Cannon 2020). As such, efforts have sought to assess GCM performance to make well-informed choices prior to downscaling (McSweeney et al. 2012, 2015). Recent years have seen an increase in these efforts and an expansion of approaches with a particular focus on fitness for impact assessments, adaptation, and climate service applications (Ashfaq et al. 2022; Di Virgilio et al. 2022; Grose et al. 2023; Jeong and Cannon 2023; Palmer et al. 2023). The different CORDEX domains have unique challenges of their own, due to their organization as a coordinated effort and their aim to build internally consistent and robust ensembles that capture as wide a range of future outcomes as possible. Here, we focus on the European branch of CORDEX (EURO-CORDEX) (Jacob et al. 2020) but aim to develop a general evaluation framework that is transparent, extensible, and readily transferable to other domains and contexts. The framework and toolkit described here also provide an exciting opportunity for post hoc users of ensembles of downscaled data who may wish to perform additional tailoring of the ensemble to apply it to specific contexts.

The main thrust of this manuscript and its accompanying toolkit, however, are meant to assist a diverse community of modelers and users of regional climate data, both within CORDEX and without, to make informed decisions when it comes to selecting GCMs for downscaling from the CMIP6 archive. This work required finding consensus from a diverse range of EURO-CORDEX community members. It is not always easy to agree to a single

<sup>1</sup> <https://cordex.org/>.

approach, and our work reflects the sometimes-competing interests community efforts must confront. However, there is strong agreement on the overarching problem and its solution, as embodied by the following three points. First, while the approach to GCM selection during the CMIP5 downscaling phase cannot be wholly considered an ensemble of opportunity, it is also true that the selection of GCMs was not as rigorous and transparent as it could have been. Second, we aim to improve upon this situation; help construct smarter, more reliable, and more useful downscaled ensembles; and make the selection process more objective and transparent. Third, the EURO-CORDEX community establishes this as a living approach that can evolve, and improve, along with our scientific understanding.

What follows are subsections describing the problem, the background, and our ambitions. Following that, we outline the key categories and metrics that we deem important for the evaluation of GCMs for downscaling and ultimately constructing an ensemble that covers the range of possible outcomes, given the available scenarios [i.e., shared socioeconomic pathways (SSPs)] (sections 2–6). We note that several decisions are taken that are context dependent; those surrounding SSPs and climate sensitivity reflect decisions that were important to the EURO-CORDEX community. Such decisions may reasonably vary for different applications, and the general framework and toolkit presented here can be adapted depending on which choices one makes. Last, we discuss matrix<sup>2</sup> design considerations and statistical considerations (sections 7 and 8). We rely mostly on peer-reviewed literature for the assessment and evaluation of the CMIP6 simulations. These assessments are provided in the toolkit on GitHub, and we have devised innovative ways to include relevant metadata and update tables and spreadsheets with new results.<sup>3</sup>

<sup>2</sup> The term “matrix” refers to the table of RCM–GCM pairings that form an ensemble. It often includes a third dimension for the scenarios.

<sup>3</sup> <https://wcrp-cordex.github.io/cmip6-for-cordex>.

**a. Avoiding the “curse of opportunity”.** While issues related to so-called ensembles of opportunity have been known for some time (Tebaldi and Knutti 2007), there have been relatively few community-based efforts to address them. It arises when ensembles are constructed by asking for model results, in this case driving GCM data, from anyone who is willing to contribute. In the United States, the North American Regional Climate Change Assessment Program<sup>4</sup> (NARCCAP) used an experimental design, also referred to as “factorial regression,” to carefully design a matrix of a limited number of GCM–RCM combinations (Mearns et al. 2013). Building from NARCCAP, North American (NA)-CORDEX approached CMIP5 downscaling by considering factors such as equilibrium climate sensitivity (ECS) and the quality of boundary conditions instead (see Bukovsky and Mearns 2020). The Southeast Asia CORDEX domain has developed a GCM ranking system that considers a handful of present-day performance metrics in CMIP6 models (Desmet and Ngo-Duc 2022). It is not trivial, however, to pick a representative multimodel ensemble with different performance characteristics and indeed, no agreed upon, approach to determine which characteristics are “most” important (Benestad et al. 2017; Dalelane et al. 2018; Evin et al. 2021; Ferro et al. 2012; Knutti et al. 2010; Merrifield et al. 2023; Palmer et al. 2023). An additional challenge is how to ensure a subsample of a large multimodel ensemble of GCMs that maintains the model spread.<sup>5</sup> As a result, there are many different strategies for selecting ensembles; however, there is no “best” strategy.

<sup>4</sup> <https://www.narccap.ucar.edu/>.

<sup>5</sup> Provided there are no physical reasons for reducing it [e.g., observational constraints (Hegerl et al. 2021)].

These issues highlight that the design of ensembles and selection of driving models will always be fraught with trade-offs and subjective decisions. Rather than aim for the “perfect” or best models and ensemble designs, we aim to help researchers make well-informed choices and provide comprehensive information that will allow tailoring of ensembles to the purposes at hand (e.g., exploring storylines or worst-case scenarios).

The contributors to this exercise acknowledge these tensions and the inherently subjective nature of some of these choices and aim to make science-based decisions whenever possible. In addition, we wish to make the process transparent and comprehensible.

**b. Background (EURO-CORDEX v1.0).** The currently available CMIP5-based EURO-CORDEX GCM–RCM–RCP matrix<sup>6</sup> was built up over 10 years and, generally, follows the CORDEX simulation protocol.<sup>7</sup> Acknowledging the general idea to sample both global/regional model uncertainty and emission scenario uncertainty (and not explicitly sampling internal climate variability<sup>8</sup>), simple approaches were applied, like using a few GCMs from all RCPs but many GCMs from one RCP, with special attention paid to sampling a wide range of GCM and RCP forcings.<sup>9</sup> Despite this, the CMIP5 EURO-CORDEX ensemble under sampled from the warmest and coldest GCMs and the driest GCMs (Corre et al. 2025). Further, there was little rigorous assessment of the driving GCMs with respect to their performance [e.g., storm tracks, jet, sea surface temperatures (SSTs), and sea ice] and/or plausibility (e.g., ECS, trend reproduction) as was done a posteriori in, e.g., McSweeney et al. (2012, 2015). The effort to select driving GCMs from the spread of future change focused on changes in temperature and precipitation over Europe (Jury et al. 2015). These future change criteria are perhaps valid for temperature but not for precipitation, which is substantially modified by the RCM over the region of interest despite the large-scale dependencies. Last, there simply were not so many GCMs available in the CMIP5 archive that provided the requisite forcing data (Goldenson et al. 2023).

Consequently, the available EURO-CORDEX matrix provides an ensemble of impressive size and with a design that has at least been partly coordinated. However, in many respects, the matrix still must be seen as an ensemble of opportunity with little assessment of driving GCMs' fitness and balance. This complicates, for instance, a complete use of the matrix in subsequent impact assessments. We therefore need to aim for a more consistent and coordinated design of the next (CMIP6-based) generation of the EURO-CORDEX matrix as well as a more robust assessment of the driving GCMs and their strengths and weaknesses, to progress as a community in “taking ensembles seriously and valuing model independence” (Jebeile and Barberousse 2021).

**c. Ambition for CMIP6 (EURO-CORDEX v2.0).** To address the abovementioned issues, recent studies (published after the start of EURO-CORDEX) have explicitly proposed criteria to subsample the large CMIP5 GCM ensemble to serve a regional climate downscaling initiative (Brands et al. 2013; Jury et al. 2015; Parding et al. 2020) in addition to national climate service documents such as DRIAS<sup>10</sup> or the DWD “Referenz-/Kern Ensemble.”<sup>11</sup> Two overarching criteria have been emphasized by the authors, which we include in our framework:

- 1) Past climate or baseline performance: Assuming that an RCM driven by a “well-performing” GCM will inherit the quality of the driver. Performance criteria have focused on fields relevant for RCM downscaling such as large-scale drivers (storm-track position and intensity and weather regimes) and/or temperature seasonal cycle.

<sup>6</sup> Tables of available EUR-11 (12 km) simulations are available at <https://github.com/euro-cordex/esgf-table>.

<sup>7</sup> While the discussion here is primarily concerned with the high-resolution 12-km ensemble, there is also an extensive ensemble of 50-km simulations. The CMIP5 protocol is at [https://cordex.org/wp-content/uploads/2020/05/cordex\\_general\\_instructions.pdf](https://cordex.org/wp-content/uploads/2020/05/cordex_general_instructions.pdf).

<sup>8</sup> This was true at least initially. However, more recently, e.g., in the Production of regional climate model projections for Europe in the framework of Copernicus C3S project (PRINCIPLES; <https://climate.copernicus.eu/c3s-production-european-climate-projections>), internal variability has been included more explicitly. See also von Trentini et al. (2019).

<sup>9</sup> <https://climate.copernicus.eu/sites/default/files/2021-09/WebinarFAQ.pdf>.

<sup>10</sup> In French, <http://www.drias-climat.fr/accompagnement/sections/296>.

<sup>11</sup> In German, [https://www.dwd.de/DE/forschung/klima\\_umwelt/klimaprojektionen/fuer\\_deutschland/fuer\\_dtsl\\_rcp-datensatz\\_node.html](https://www.dwd.de/DE/forschung/klima_umwelt/klimaprojektionen/fuer_deutschland/fuer_dtsl_rcp-datensatz_node.html).

- 2) Future climate spread: Assuming that an RCM driven by a highly sensitive GCM will be highly sensitive itself and vice versa.

To our knowledge, the optimal way to subsample the shared socioeconomic pathways or the individual members of a given GCM has not been specifically addressed in the literature though there is robust debate on the validity of some scenarios (Hausfather and Peters 2020; Lawrence 2020).

Following the lessons learnt from the literature and from previous RCM initiatives, we therefore propose the following four categories for GCM evaluation and selection for the CMIP6 EURO-CORDEX initiative:

- Data availability: GCM data are available to drive RCMs, according to Findable, Accessible, Interoperable, Reproducible (FAIR) principles.
- GCM plausibility: GCMs must be able to realistically simulate key processes that drive climate in the region of interest, hereafter continental Europe.
- Future climate change spread: Once adequate performance is established, we aim to cover a range of future outcomes.
- GCM independence: Choose models in such a way that diversity is favored and avoid, as much as possible, redundancies.

It is important to note that the aim here is not to exclude models (unless a major deficiency is noted) or create a ranking but rather to inform the selection of GCMs for downscaling over Europe in as complete a manner as possible.

## 2. Data availability/quality

Our first category is data availability, basic quality control, and FAIR principles. For this, we are thankful for the efforts of the CORDEX-Model Intercomparison Project (MIP) (Gutowski et al. 2016), which gathered commitments from CMIP6 GCM teams to provide lateral boundary conditions necessary for dynamical and statistical downscaling. This is already an improvement over the ad hoc approach to obtaining GCM output for downscaling in CMIP5. However, it should be noted that at the time of the initial data request, the focus was on SSP1-2.6 and SSP5-8.5. This was before the CMIP6 simulations, and their related SSPs had been analyzed in depth. It has since emerged that a more likely “business as usual” scenario is SSP3-7.0 (Hausfather and Peters 2020). This was not widely known at the time of the CORDEX data request (2016–17), and as such, this mismatch likely influenced data availability. Below are the criteria under this category.

### **Data availability criteria.**

- 1) CORDEX-MIP: This means that the necessary boundary conditions are provided.
- 2) Basic quality assurance (QA) has been performed (e.g., missing values, suspect values, model levels, etc.).
- 3) The GCM data adhere to FAIR principles and are available on ESGF or similar (e.g., Climate Data Store).
- 4) GCMs provide data for a range of SSPs, in particular SSP1-2.6, SSP2-4.5, SSP3-7.0, and SSP5-8.5 (with initial prioritization to be given to 2.6 and 7.0, to be consistent with the CMIP6 CORDEX experiment protocol).<sup>12</sup>
- 5) GCMs provide data necessary for both dynamical downscaling and statistical downscaling.

<sup>12</sup> [https://cordex.org/wp-content/uploads/2021/05/CORDEX-CMIP6\\_exp\\_design\\_RCM.pdf](https://cordex.org/wp-content/uploads/2021/05/CORDEX-CMIP6_exp_design_RCM.pdf).



Despite the efforts of CORDEX-MIP and the wide range of realizations and model versions (40 unique version/realization combinations that meet subcriteria 4 and 5) available, only 16 unique GCMs meet the above criteria. Given the independence criteria described below, the number of truly independent GCMs is likely smaller than this. The data availability for the different scenarios can be assessed by accessing the columns under the “1. Availability” heading in the toolkit.<sup>13</sup>

<sup>13</sup> [https://wcrp-cordex.github.io/cmip6-for-cordex/CMIP6\\_studies\\_table\\_EUR.html](https://wcrp-cordex.github.io/cmip6-for-cordex/CMIP6_studies_table_EUR.html).

### 3. Plausibility criteria

We define “plausibility criteria” as above under “GCM plausibility.” These criteria mainly focus on past and current climate performance but also include criteria on future climate responses such as transient climate response (TCR) (we note this is a subjective choice and the framework is flexible in this regard). More generally, “plausibility” should be understood in a broad sense in our general framework and other terms, such as “credibility,” could also apply. Under this category, we establish performance-based criteria to select a group of global models that can reproduce key climate processes over the region of interest for downscaling. For a midlatitude area such as Europe, this necessarily includes large-scale features of the climate system such as circulation over the North Atlantic, SSTs in the surrounding seas, and sea ice in the Arctic. Because of this strong dependence on upstream conditions, we group our plausibility criteria under “global/hemispheric” and “regional” headings. The assumption is that realistic models will produce more realistic future projections because they are able to represent processes correctly. For a detailed summary of the philosophy behind the application of such criteria, see McSweeney et al. (2012) and Knutti et al. (2010). We also emphasize that the general framework and toolkit presented here are designed for flexibility and allow for cases where one may choose not to screen based on such metrics.

What follows is a list of the criteria used for the selection of CMIP6 models. There are many others which might be included, but the task team has endeavored to limit the criteria to processes or quantities that are of the highest relevance for regional downscaling for the EURO-CORDEX domain (whether it be done via dynamical, statistical, or hybrid approaches). Availability of published studies assessing many CMIP6 GCMs is also a limit for the plausibility criteria, knowing that new GCM assessment studies will likely emerge in the coming years. Where not available from published studies, the selected plausibility criteria have been calculated. We note that these are examples that are relevant for Europe and may be different for other regions. The toolkit allows for this flexibility.

#### ***Plausibility criteria.***

##### **1) GLOBAL**

- 1) Observationally constrained TCR (Ribes et al. 2021; Tokarska et al. 2020; IPCC-AR6)
- 2) Global performance scores (Brunner et al. 2020)
- 3) Observationally constrained global future climate change range for mid-twenty-first century (Qasmi and Ribes 2022)

##### **2) REGIONAL (EUROPE)<sup>14</sup>**

- 1) Large-scale circulation criteria over the North Atlantic such as jet stream north–south position (Oudar et al. 2020), storm-track position (Pri20), blocking frequency (Dav20), mean absolute error (MAE) for the frequency of European

<sup>14</sup> We note that a few key metrics are missing from the literature, and these are seasonal cycles of near-surface temperature, precipitation, and humidity.

weather types (Brands 2022a) and a CMIP6 GCM revisited version of the McSweeney et al. (2015) criteria (Palmer et al. 2023).

- 2) Aerosol optical depth (AOD), root-mean-square error (RMSE), and past trend over Europe.
- 3) Regional SST RMSE for surrounding water areas: Mediterranean, Black Sea, Norwegian and Barents Seas, Baltic and North Seas, and North Atlantic Ocean.
- 4) Regional sea ice cover (SIC) RMSE for the relevant zones: Baltic Sea, Norwegian Sea, and Barents Sea.

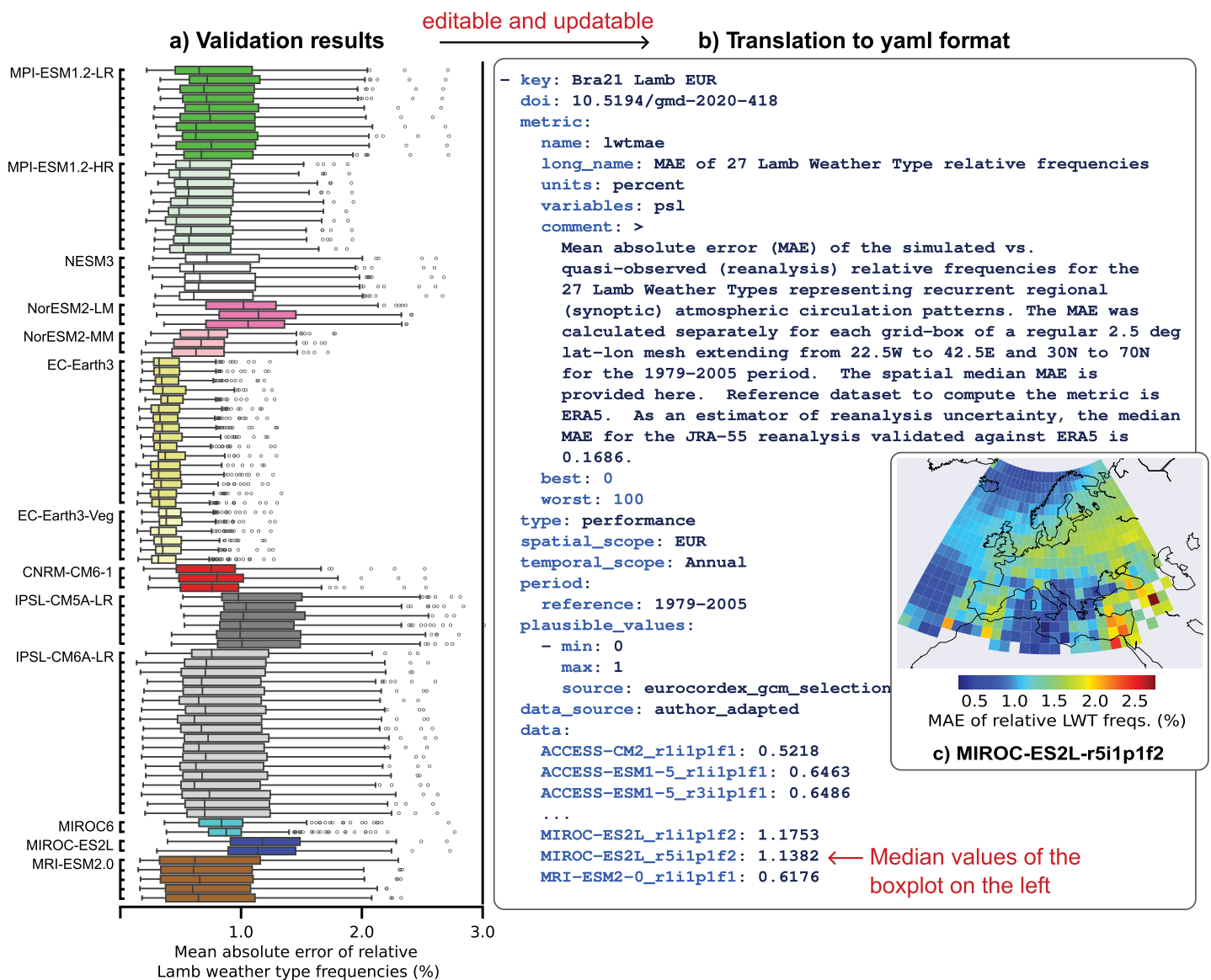
The headings under the “2. Plausibility” section of the tables link to a file that includes a summary of the scores and plausibility limits, along with a link to the original publication (Fig. 1). Taking Fig. 1 as an example, we can see that it is related to Lamb weather types. There are headings and comments explaining the scores, which in this example are the median MAE for a model and are displayed in the summary tables. Also shown in the file is the “plausibility range” which is set at 0–1. Models scoring over 1.0 are deemed implausible for this metric. The scores for other criteria vary, but all appear in the summary tables, and it is clearly indicated whether they are within/without the acceptable range or above/below the chosen threshold. Note that many more studies might be available than those shown in the tables. Different criteria drove the decision to include/exclude specific studies. First, the main aim for the tables is to summarize the results, rather than being exhaustive. An exhaustive enumeration of all studies evaluating or presenting future outcomes of CMIP6 models would lead to unmanageably large tables. We promote a diversity of metrics exploring different aspects of model performance. Also, studies with a larger number of models and members are preferred, to avoid empty cells in the table (e.g., frequencies of Lamb weather types). In any case, all studies considered are included in the GitHub repository, the causes for exclusion<sup>15</sup> from the summary table are recorded, and the decision may be reversed after discussion or emergence of new evidence.

<sup>15</sup> An example of a study excluded from the table and how the causes for exclusion are registered can be found at [https://github.com/WCRP-CORDEX/cmip6-for-cordex/blob/main/CMIP6\\_studies/Fer21.yaml](https://github.com/WCRP-CORDEX/cmip6-for-cordex/blob/main/CMIP6_studies/Fer21.yaml).

#### 4. Selecting to cover the range of future outcomes

The third category in the framework for selection of driving global climate model simulations for downscaling is future projection coverage. Once the model simulations are available (section 2) and are shown to represent current and/or evolving climate reliably (section 3), we are left with a set of model simulations from which we can explore several plausible future climates. We recommend sampling these plausible futures using well-defined uncertainty types as guidance.

**a. Scenario uncertainty.** This type of uncertainty would be covered by selecting at least low/high concentration pathways. To obtain ensembles that are globally consistent, this choice has been agreed to for the whole CORDEX-CMIP6 initiative. SSP1-2.6 and SSP3-7.0 have been selected to illustrate these pathways. SSP2-4.5 has lower priority in the new CORDEX framework but could be used to simulate a medium pathway in agreement with current national efforts for reducing greenhouse gas (GHG) emissions. Finally, SSP5-8.5 is still of interest to illustrate extreme scenarios and worse-case trajectories and is especially of interest for those users conducting risk assessments. On a related note, this reasoning also applies to consideration of models with high TCR or ECS values (e.g., outside plausible ranges). Another option for handling issues arising from scenario uncertainty and/or high sensitivity would be to apply a global warming level approach, which is increasingly being used in impacts and adaptation contexts (Goldenson et al. 2023). The framework presented here can easily accommodate such modifications.



**FIG. 1.** Summary of GCM performance over the EURO-CORDEX domain for an example plausibility metric: the climatological (1979–2005) Lamb weather-type frequencies as described in Brands (2022a). Error metric is the mean MAE of the 27 weather-type frequencies simulated by a given GCM with respect to frequencies from the ERA5 reanalysis. MAE describes the GCM’s capability to reproduce the near-surface atmospheric circulation centered at a particular grid box; smaller MAE values indicate better performance, i.e., more plausible results. (a) Each bar structure represents the error map (i.e., spatial sample) for an individual GCM run over the domain, the runs being indicated along the y axis (the distinct runs of a given GCM are represented schematically). (c) An example error map is shown for MIROC-ES2L-r5i1p1f2. Box in (a) indicates interquartile range (IQR) of the error sample; within-box black horizontal line indicates the median value of the error sample—this value is listed in the Bra21.yaml file shown in (b) for each considered GCM run; box-and-whisker plots in (a) are located at the first MAE value greater than  $p_{25} - 1.5 \times \text{IQR}$  and at the last value less than  $p_{75} + 1.5 \times \text{IQR}$ , with  $p_{25}$  and  $p_{75} = 25\text{th}$  and  $75\text{th}$  percentiles of the error sample, respectively. Similar color shadings refer to GCMs from the same institute. The yaml file shown in (b) is fully editable and updatable ([https://github.com/jesusff/cmip6-for-cordex/blob/main/CMIP6\\_studies/Bra21.yaml](https://github.com/jesusff/cmip6-for-cordex/blob/main/CMIP6_studies/Bra21.yaml)).

**b. Model uncertainty.** For a given scenario, the model response to a prescribed external forcing is a key source of uncertainty, especially near the end of the twenty-first century (Evin et al. 2021; Hawkins and Sutton 2009; Lehner et al. 2020). We need to carefully select the GCM variables or characteristics that are most relevant for the RCM simulations. Large-scale features such as weather regimes or North Atlantic storm tracks are logical to explore. Exploring the model uncertainty in the GCM ensemble assumes that the RCM is not free to invent its own climate change signal within the domain and is constrained by the GCM sensitivity at least for some variables. This implies that RCMs are not disturbing the large-scale



climate change signal, at least for some variables within the domain of interest. This is likely not true for all GCM–RCM pairs, and the topic of GCM–RCM inconsistency is an active area of research (Boé et al. 2020; Taranu et al. 2023).

**c. Internal variability.** Through the advent of large ensembles, the role of natural variability in modulating external forcing is now widely appreciated (Deser et al. 2012). There have been some large ensemble efforts with regional climate models (Mote et al. 2016). It is important to take it into account, when possible, when designing GCM–RCM matrices and potentially when selecting specific member(s) for a given GCM. To our knowledge, dedicated work to the selection of GCM members has never been performed in the context of a multimodel coordinated effort such as CORDEX. This is partly related to the data availability issue as data required to drive RCMs are usually available only for one member per GCM except for some exceptions where internal and intermodel uncertainties are considered (von Trentini et al. 2019). In general, using more models has been considered more important than adding additional realizations of the same model (Longmate et al. 2023). This natural variability uncertainty is, however, intrinsically included in the RCM ensemble as random members of various GCMs are used. A few attempts to optimize the member selection have been performed,<sup>16</sup> but they went largely undocumented. This specific member selection is nevertheless relevant for the first decades of the scenario period to better cover the total climate change uncertainty range.

<sup>16</sup> For instance, in EU-funded ENSEMBLES, ECHAM5-r3 was selected due to its better agreement with the observed trends. Also, the CNRM-CM5 member used in CORDEX-CMIP5 was initially the member r8i1p1 of the original ensemble and was renamed r1i1p1 by the GCM modeling group before diffusion on the ESGF and, in particular, for the provision of RCM lateral boundary conditions (LBCs). The specific choice of the member r8i1p1 was based on its better ability to reproduce twentieth-century past trends in global mean surface temperature.

For CMIP6, there are seven GCMs that make multiple realizations available for driving RCMs. However, we note that typically only one or two realizations have been evaluated for performance and other criteria in the literature. We believe it would be worthwhile, all other things being equal (i.e., the GCMs have satisfactory performance) to explore the role of internal variability explicitly by including these multiple realizations in the RCM–GCM matrix design of EURO-CORDEX. However, this has been challenging to achieve in practice.

Inspired by the literature and by the EURO-CORDEX RCM experiment protocol (Katrakgou et al. 2024), we have considered the following criteria to explore the range of plausible futures. These also appear in the linked tables, are given a traffic light coloring to aid in interpretation, and are displayed under the heading “3. Spread of future outcomes.” We note that these are examples that are relevant for Europe and may be different for other regions. The toolkit allows for this flexibility.

#### **d. Future change criteria.**

- 1) Jet stream position change (Oudar et al. 2020).
- 2) European near-surface temperature future change: 2070–2100 vs 1980–2010 for SSP5-8.5 (IPCC Atlas GitHub repository) and observationally constrained warming classes for JJA, 2041–60 vs 1850–1900, SSP2-45 (Qasmi and Ribes 2022).
- 3) Aerosol optical depth future evolution over Europe, SSP5-85, end of the twenty-first century.
- 4) Mediterranean SST future evolution, SSP5-85, end of the twenty-first century.
- 5) TCR values (IPCC-AR6) and ECS values (Schlund et al. 2020).

As with the plausibility criteria, the headings for the future change criteria in the tables include links to the underlying files.

## 5. Model independence and structural uncertainty

Here, we introduce a final category of criteria, rarely discussed in the RCM-related literature to our knowledge but commonly used in practice: GCM independence (Boé 2018; Brunner et al. 2020). Because GCMs are far from independent, the statistical properties (multimodel mean, standard deviation, etc.) of the full ensemble may be quite biased if many interdependent models are considered in the driving GCM selection. We try here to assess the level of model dependency between the CMIP6 GCMs to eliminate obvious and less obvious near duplicates and to avoid introducing hidden biases in the ensemble and unnecessary duplication. For some applications, the fine details will be important, while for others, simply knowing models share interdependencies is enough. We see two ways to assess and treat model independence:

- Independence criteria based on a priori model structure.
- Independence criteria based on a posteriori model output pattern.

Classifying models in families depending on their building phase, for example, the relationship between institutes or the number of common lines of code or the list of common submodels, is a promising way to deal with independence. This a priori model uncertainty or model independence criteria could rely on the model version (same model with different levels of complexity, concerning the spatial resolution, tuning choices, or the number of climate components represented) or on the model lineage (different models with shared components, i.e., shared lines of code). However, this a priori approach has been rarely attempted (Boé 2018; Brands 2022b; Leduc et al. 2016), probably because of the difficulties to obtain published, easy-to-handle, and robust information about the models. The rising use of Earth System Documentation (ES-DOC) (<https://search.es-doc.org/>) may facilitate such an approach in the coming years, and the GCM metadata archive built by Brands et al. (2023) provide useful information in the meantime.<sup>17</sup>

<sup>17</sup> <https://github.com/SwenBrands/gcm-metadata-for-cmip>.

Fortunately, model independence can also be assessed a posteriori by comparing the model outputs. In particular, the spatial pattern of the error maps and the future climate change response maps appears to align well with model dependency. This feature has been used first in Knutti (2010) and Knutti and Sedláček (2013) and more recently for the CMIP6 ensemble by Brunner et al. (2020) and Brands (2022b). Additionally, we include an assessment of model complexity and the spatial resolution of the available models as the effective resolution of these models is typically much larger than their grid spacing. The criteria under this heading appear below and are also represented in the tables.

### ***Model independence/structural uncertainty.***

- 1) Model complexity, by specifying the prescribed and interactive components considered (Brands 2022a).
- 2) Model independence (Brunner et al. 2020; Brands 2022b).
- 3) Spatial resolution of a GCM, e.g., effective resolution (Klaver et al. 2020).

While model independence was applied in the final evaluation and proposed selection (see Tables 1 and 2 below), we decided not to use the level of model complexity and the model resolution criteria as critical a priori selection procedure, except as a tiebreaker, as they may duplicate other performance criteria. These criteria are shown in the summary tables of the toolkit under “4. Other criteria.”

**TABLE 1.** Strictest; GCMs which are available for all four scenarios (ssp126, ssp245, ssp370, and ssp585) and are deemed “plausible” for all evaluated criteria. To qualify, models must be evaluated for at least one criterion per category (availability, plausibility, future change, and independence). The third column shows the number of failed criteria over the total number of criteria for each model. Models that are also part of institutional commitments are highlighted. The fourth column shows an illustration of future spread categories for the selected GCMs [here based on TCR values from low (green) to high (red)].

GCM name	Run	Marks/criteria	TCR plausible range (1.2–2.4 K) <sup>a</sup>
MPI-ESM1-2-LR	r1i1p1f1	0/18	1.84

<sup>a</sup> TCR 90% range as provided by the IPCC AR6 [https://www.ipcc.ch/report/ar6/wg1/downloads/report/IPCC\\_AR6\\_WGI\\_Chapter\\_07\\_Supplementary\\_Material.pdf](https://www.ipcc.ch/report/ar6/wg1/downloads/report/IPCC_AR6_WGI_Chapter_07_Supplementary_Material.pdf).

## 6. Approaches for merging criteria for reaching the final GCM list

Despite the aim to include all CMIP6 models in our assessment and acknowledging that even some “implausible” models may be useful to downscale for particular purposes, ultimately decisions on which models to prioritize for downscaling must be made. The accompanying tables are meant to facilitate this decision-making. However, several questions persist that can only be answered by the community of practice itself and its specific context and not just by a subset of that community (i.e., the task team behind this manuscript). Below are some considerations:

- For which processes or scales is good performance most important for the community of practice?
- What are the thresholds for excluding models and how are they decided? (See subjectivity discussion above). “Hard” and “soft” thresholds can be more inclusive and

**TABLE 2.** Less strict; as in Table 1, but for removing the “plausible for all evaluated criteria” argument/filter. Scores are based on all evaluated members of a model even if only one member is available. Only one model per family is kept in most cases and in the event of a tie criteria such as complexity, and resolution may play a role as tiebreakers. Explanations appear in footnotes.

GCM name	Run	Marks/criteria	TCR plausible range (1.2–2.4 K)
NorESM2-MM <sup>a</sup>	r1i1p1f1	1/17	1.33
MIROC6 <sup>b</sup>	r1i1p1f1	1/20	1.55
MPI-ESM1-2-HR	r1i1p1f1	1/20	1.66
CNRM-ESM2-1	r1i1p1f2	1/19	1.86
CESM2 <sup>c</sup>	r1i1p1f1	1/18	2.06
CMCC-CM2-SR5 <sup>d</sup>	r1i1p1f1	1/15	2.09
IPSL-CM6A-LR26 <sup>e</sup>	r1i1p1f1	2/16	2.32
EC-Earth3-Veg <sup>f</sup>	r1i1p1f1	2/15	2.62
UKESM1-0-LL <sup>g</sup>	r1i1p1f2	2/19	2.79

<sup>a</sup> Same family as CESM but helps with covering future spread as it has low TCR. NorESM2-MM performs much better than NorESM2-LM in terms of regional atmospheric circulation (Brands 2022a).

<sup>b</sup> MIROC-ES2L might also be considered but has a 500-km nominal resolution, scores 3/5, and is in the same family as MIROC6.

<sup>c</sup> TaiESM1 would also qualify but shares all components with CESM2 (<https://gmd.copernicus.org/articles/13/3887/2020/>).

<sup>d</sup> CMCC-ESM2 might also be considered, but it is evaluated for fewer criteria, is the same model family, and is not evaluated for TCR. CMCC-CM2-SR5 shares its AGCM (CAM5.3), LSM (CLM4.5), and sea ice model (CICE4.0) with CESM1.

<sup>e</sup> IPSL-CM6A-LR shares its OGCM (NEMO3.6) and ocean biogeochemistry model (PISCESv2) with CNRM-ESM2-1. However, it is likely that there will be an institutional commitment to downscale the IPSL model (t.b.c.).

<sup>f</sup> EC-Earth3 would also qualify but shares the same family.

<sup>g</sup> ACCESS-CM2 also qualifies but is from the same family and does not include the Black Sea which could present issues for the EURO-CORDEX domain.

facilitate a nuanced construction of the ensemble more than an “in” or “out” criterion (see, e.g., McSweeney et al. 2012, 2015; Palmer et al. 2023; and their use of a “traffic light system”).

- Do the different evaluation criteria carry equal weight? There may be clusters of nonindependent criteria (e.g., jet stream latitude is related to blocking frequency).
- How should we best combine the plausibility criteria with the future spread criteria? For example, McSweeney et al. (2012) use a clear two-stage process whereby 1) models are evaluated and eliminated and then 2) a diverse range of models is selected from those left. On the other hand, one could allow some poorly performing models in because they cover a part of the future climate space that is underrepresented (see McSweeney et al. 2015 “decision making framework”).

To facilitate the exploration of the decisions made, all background information and even the code used to create the tables are publicly available in the GitHub repository.<sup>18</sup> This includes human- and machine-readable files for every metric included in the tables and even those considered but not ultimately included (usually due to preferred, or more complete, sources for similar information). The source for the plausibility limits is also provided, since these are usually not stated in the published work and the authors were contacted to consider their input. This approach provides full transparency to the process of constructing the summary tables (see Fig. 1). Moreover, it provides a way to update them in collaboration with the community, which can use GitHub issues to discuss their concerns with, provide alternative sources of information or even fork the whole repository to adapt it to their needs.

<sup>18</sup> <https://github.com/WCRP-CORDEX/cmip6-for-cordex>.

**CMIP6 model recommendations.** Below, we present tables where we summarize the details in the toolkit and provide a synthesis across all four evaluation categories and their underlying criteria (see Fig. 1 for full details). These may be considered as an initial recommendation of which GCMs exhibit an appropriate level of performance for downscaling by the EURO-CORDEX community. However, this is a living process that can, and should, evolve in time (e.g., new evaluations are performed; additional simulations are added to ESGF).

All the models shown in Tables 1 and 2 satisfy the availability criteria. The plausibility scores are summarized in the “Marks/criteria” column. A model gets a mark for a given criterion if it lies outside the defined range or above/below a defined threshold. Again, all these decisions are detailed in the accompanying yaml files. The reader is encouraged to visit the toolkit website for the details as it is not practical to display all the information here. The strictest of these tables (Table 1) is an illustration of the fact that being too restrictive on the criteria likely leads to too few models to ensure reliable downscaled ensembles. It should be noted that we applied a threshold so that models which score highly simply because they have not been evaluated are not included (a minimum of one plausibility score is required). We also note that being evaluated for multiple criteria should be viewed favorably and that all the displayed models were evaluated for at least 15 plausibility criteria (e.g., global warming level, large-scale circulation, surface forcings such as SST, SIC, or AOD). For the future change category, we chose the TCR criterion to organize the models according to warming levels. There are many other possible configurations that can be constructed using the filtering features in the GitHub tables. Here, we present two, the second of which we believe represents an appropriate starting point for CMIP6 downscaling in EURO-CORDEX.<sup>19</sup>

<sup>19</sup> [https://wcrp-cordex.github.io/cmip6-for-cordex/CMIP6\\_studies\\_table\\_EUR.html](https://wcrp-cordex.github.io/cmip6-for-cordex/CMIP6_studies_table_EUR.html).

Two additional models that are part of institutional commitments are *not* currently “available.” These are EC-Earth3-Veg r6i1p1f1 and EC-Earth3

r1i1p1f1. It is likely these will appear on the ESGF well before EURO-CORDEX simulations are completed. As noted above, this list will evolve as additional analyses are conducted. Models that currently score well in terms of performance may be downgraded as more analyses are performed. This is especially a concern for those who have only been evaluated for a few criteria. As such, we focus on those evaluated for many criteria. Likewise, models/simulations that are currently unavailable on ESGF may become available. Further, marks against plausibility should not be automatically disqualifying. Rather, they should be considered as flags that warn of known shortcomings that downscaling teams should consider carefully. As an example, we point to the ACCESS models, which perform well, but one of their marks against them arises due to the absence of the Black Sea. This omission may have serious implications for the southeast Mediterranean and eastern Europe. While it might be tempting to turn the marks/criteria ratios into a skill score, many of the criteria cannot be considered independent and such a skill score would need to be carefully constructed to avoid spurious results. Nevertheless, we can see that the models in Table 2 generally perform quite well. They also cover well the range of plausible TCR<sup>20</sup> with a few warm outliers, which may be of interest for climate service providers aiming to provide risk assessments based on worst-case scenarios [EC-EARTH and U.K. Earth System Model (UKESM)].

<sup>20</sup> TCR is just one possibility, and other spread criteria could also be used here.

## 7. Matrix design

A final issue to consider for the robust construction of downscaled ensembles of CMIP6 is how best to fill the 3D matrix of simulations (either RCM-GCM-SSP or ESD-GCM-SSP pairings). As noted in the introduction, the matrix of simulations from CMIP5 remains sparse, heterogeneous, and unbalanced despite some impressive efforts to ameliorate these shortcomings. It is very large and presents challenges for impact modelers and climate service providers who may wish to perform a subselection of the ensemble, often without sufficient guidance. This challenge will persist in the downscaling of CMIP6 due to differences in institutional strategies, resources, timing, etc. In some ways, the EURO-CORDEX ensembles are, like CMIP ensembles, often unbalanced as they grow over time and are “dynamic” in practice, if not by design. However, we believe we can take some steps at the outset that can help reduce the impact of typical matrix design hazards and agree to a “balanced matrix” experiment (that exists as a subset of the much larger EURO-CORDEX ensemble) that can be used in adaptation planning and impact assessments.

We suggest four criteria to help guide filling the matrix:

- 1) Balance the ensemble as best as possible in order that no SSP, GCM, or RCM is over- or underrepresented.
- 2) Physical consistency between GCM and RCM, so that the RCM does not deviate too much from the GCMs at large scales.
- 3) Keeping an eye on the range of uncertainty. For example, we should not artificially decrease the range of outcomes provided by the GCMs and should allow the possibility to explore interesting but rare outcomes such as plausible worst-case scenarios.
- 4) Facilitate a posteriori filling of the matrix via statistical approaches (see next subsection).

Table 3 shows the selection of ongoing or planned EURO-CORDEX RCM simulations to date that contribute to a balanced matrix that fulfills the criteria mentioned above. Efforts have been made to avoid under-/overrepresented GCMs and represent, as much as possible, a fractional factorial design where at least three runs by RCM and four runs by GCM are considered. However, there are also institutional or project constraints that must be respected,



TABLE 3. The EURO-CORDEX balanced matrix experiment comprises simulations filling a GCM-RCM-SSP combination matrix with a fractional factorial design where at least three runs by RCM and four runs by GCM are considered (two additional simulations with CMCC-CM2-SR5 and MIROC6 need to be planned to fit this criterion). Blue crosses indicate the simulations which are planned, and green crosses indicate simulations which are currently (February 2023) running or are completed. All simulations are planned to run with the scenarios SSP1-2.6 and SSP3-7.0. The color shading is as in Table 1. Updated status information can be found at [https://wcrp-cordex.github.io/simulation-status/CORDEX\\_CMIP6\\_status\\_by\\_experiment.html#EUR-11-EURbalanced](https://wcrp-cordex.github.io/simulation-status/CORDEX_CMIP6_status_by_experiment.html#EUR-11-EURbalanced).

Driving GCM/run	Institution/RCM						
	CNRM/ ALADIN6x	CLMcom/ ICON-CLM	HCLIMcom/ HCLIM43-ALADIN	KNMI/ RACMO23E	GERICS/rEMO	CUNI, ICTP/RegCM	AUTH, cESAM, /wRF
NorESM2-MM/r1i1p1f1	X			X		X	X
MIROC6/r1i1p1f1		X	X		X		
MPI-ESM1-2-HR/r1i1p1f1		X			X	X	X
CNRM-ESM2-1/r1i1p1f2	X		X	X		X	
CMCC-CM2-SR5/r1i1p1f1	X	X					X
EC-Earth3-Veg/r1i1p1f1			X	X	X	X	

which leads to some GCMs that are more represented than other ones. We note that all the GCMs satisfy the evaluation criteria detailed in the previous sections.

## 8. Statistical exploitation of the EURO-CORDEX ensemble

There are several ways to make full use of the EURO-CORDEX ensemble, and we discuss a few here. For example, combining dynamically downscaled results with empirical–statistical downscaling (ESD) which can be applied to a much larger multimodel ensemble and can take advantage of relative strengths while mitigating weaknesses (Mezghani et al. 2019). We have noted that we try to avoid an ensemble of opportunity in the EURO-CORDEX downscaled ensemble. However, the input GCM ensemble (CMIP6) is itself an ensemble of opportunity in the sense that there are some model lineages/versions which are overrepresented in the ensemble. CMIP6 may also be underdispersive of the full range of outcomes due to scenario considerations, model uncertainty, and/or internal variability. Even though ESD approaches can downscale the full CMIP ensemble, a weighting scheme or thinning of the full ensemble would be required to have a balanced ensemble of downscaled results. In an unbalanced multimodel ensemble, not weighting is weighting (Fernández and Frías 2020). Statistical approaches could be used to assess the effects of different ensemble thinning strategies a posteriori (Christensen and Kjellström 2020) to statistically obtain a balanced climate change signal and the associated uncertainties with an a posteriori statistical filling of the matrix (Déqué et al. 2012; Evin et al. 2021). A complementary approach involves so-called hybrid downscaling techniques (Doblas-Reyes et al. 2021). For aggregated variables, such as the mean temperature or rainfall totals, it may be possible to use hybrid downscaling to emulate GCM–RCM pairs and hence extend the results of a selected RCM to a large ensemble of GCMs (Erlandsen et al. 2020). This involves using existing GCM–RCM pairs for calibrating statistical models which are subsequently applied to different GCMs. Statistical emulation is now even possible at the daily scale, allowing one to potentially mimic a time series of 2D maps of the missing GCM–RCM pairs (Doury et al. 2023). Emulation opens the door to the adoption of machine learning methods and potentially pushes to higher spatial resolutions in dynamical downscaling (e.g., convection permitting scales) as fewer simulations would be needed. This is a nascent but rapidly developing area of research that nevertheless holds great promise.

## 9. Conclusions

This manuscript and its accompanying meta-analyses and recommendations (see Table 2) reflect an effort by the EURO-CORDEX community to provide a more robust and transparent basis for the selection of driving GCMs and approaches to filling the matrix of simulations.

There is no attempt here to point to a best-performing set of models. Rather, we seek to provide guidance via a comprehensive assessment, backed by the available literature, of the CMIP6 GCMs under consideration for dynamical downscaling. As discussed above, we are aware that any selection of GCMs is inherently subjective, but we aim to ensure that the criteria applied are supported by results from as many experts from the regional and global climate modeling communities as possible, i.e., to make the final decision as “objective” as possible. Furthermore, the criteria and the decision process should be documented to guarantee full transparency, traceability, and verifiability. We believe that a science- and information-driven selection/guidance approach is in any case an improvement compared to the uncoordinated and, to a certain degree, coincidental selection procedure that has been applied in the past.

This manuscript articulates a general framework for GCM evaluation and a general toolkit to facilitate this. It also provides a generalizable approach to ensemble design (see section 7) that can address some of the pitfalls involved in a posteriori ensemble member subselection. In these respects, the manuscript differs from the white paper that formed its basis and is more of an implementation document specific to the EURO-CORDEX community (Sobolowski et al. 2023). The task team welcomes suggestions for improvements and additions to the tools and documentation. In particular, we emphasize that the choices made from the EURO-CORDEX perspective may not be the most relevant for other regions and/or use cases. The toolkit is flexible in this regard. It has been designed in a way that allows its straightforward application to other CORDEX domains (it is currently extended to the Southeast Asia, Australasia, and Mediterranean domains), other downscaling initiatives, and even investigations such as physical climate storylines.

**Acknowledgments.** S. S. acknowledges support from the education ministry of Norway via its base funding of the Bjerknes Center for Climate Research as well as Horizon Europe project, Impetus4Change (Grant 101081555); J. F. acknowledges support from project CORDyS (PID2020-116595RB-I00) funded by MCIN/AEI/10.13039/501100011033; E. B. was supported by the U.K.’s FCDO funded Weather and Climate Information Services (WISER) program; M. J. acknowledges funding from the Austrian Climate and Energy Fund under its fourteenth call of the Austrian Climate Research Programme, project PREVAL ÖKS NEXTGEN (project C265151); S. B. acknowledges funding by CSIC’s Interdisciplinary Thematic Platform Clima (PTI-Clima), supported by the Spanish Ministry for Ecological Transition and Demographic Challenge (MITECO) and the NextGenerationEU recovery plan of the European Commission (Regulation EU 2020/2094). E. K. acknowledges funding from the Hellenic Foundation for Research & Innovation (HFRI), under Subaction Two-Funding Projects in Leading-Edge Sectors-RRFQ: Basic Research Financing (Horizontal support for all Sciences), Project 014696. S. Somot has received funding from Agence Nationale de la Recherche-France 2030 as part of the PEPR TRACCS program under Grant ANR-22-EXTR-00XX (LOCALISING).

**Data availability statement.** All data and analyses used in this study are available at <https://wcrp-cordex.github.io/cmip6-for-cordex>.

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